

**FORM L-35-DOWNGRADING OF INVESTMENTS - 2**



[IRDA Registration No.135 dated 19th December 2007]

**Name of Fund: LIFE FUNDS**

**Statement of Down Graded Investments | Statement as on: 30th September, 2011 | Periodicity of Submission: Quarterly**

*Rs. Lakhs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter <sup>1</sup></u></b>								
			-----NIL-----						
<b>B.</b>	<b><u>As on Date <sup>2</sup></u></b>								
			-----NIL-----						

**CERTIFICATION**

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

**Signature**

**Full Name and Designation: Aneesh Srivastava**

**Chief Investment Officer**

**Date:17/10/2011**

**Note:**

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. 'Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

**FORM L-35-DOWNGRADING OF INVESTMENTS - 2**



[IRDA Registration No.135 dated 19th December 2007]

**Name of Fund: ULIP FUNDS**

**Statement of Down Graded Investments | Statement as on: 30th September, 2011 | Periodicity of Submission: Quarterly**

*Rs. Lakhs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<b><u>During the Quarter <sup>1</sup></u></b>								
			-----NIL-----						
<b>B.</b>	<b><u>As on Date <sup>2</sup></u></b>								
			-----NIL-----						

**CERTIFICATION**

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

**Signature**

**Full Name and Designation: Aneesh Srivastava**

**Chief Investment Officer**

**Date:17/10/2011**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund.*
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04*

**FORM L-35-DOWNGRADING OF INVESTMENTS - 2**



[IRDA Registration No.135 dated 19th December 2007]

**Name of Fund: PENSION FUNDS**

**Statement of Down Graded Investments | Statement as on: 30th September, 2011 | Periodicity of Submission: Quarterly**

*Rs. Lakhs*

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
<b>A.</b>	<u>During the Quarter <sup>1</sup></u>								
			-----NIL-----						
<b>B.</b>	<u>As on Date <sup>2</sup></u>								
			-----NIL-----						

**CERTIFICATION**

*Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.*

**Signature**

**Full Name and Designation: Aneesh Srivastava**

**Chief Investment Officer**

**Date:17/10/2011**

**Note:**

- 1 Provide details of Down Graded Investments during the Quarter.*
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.*
- 3 FORM-2 shall be prepared in respect of each fund.*
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04*