

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

IDBI Federal Life Insurance Co Ltd. Registered Office: 22nd Floor, A Wing, Marathon Futurex, N M Joshi Marg, Lower Parel (East), Mumbai 400013 www.idbifederal.com Corporate Identity Number (CIN) – U66010MH2007PLC167164

Name of Fund: LIFE - LIFE FUNDS

Statement of Down Graded Investments | Statement as on: 30th June 2019 | Periodicity of Submission: Quarterly

₹ in Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.									
<i>During the Quarter ¹</i>									
1	8.90% IDFC FIRST BANK LIMITED - M-29/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	350.00	29/09/2009	ICRA	AAA	AA	21/05/2019	NA
2	8.95% IDFC FIRST BANK LIMITED M-04/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	240.05	27/01/2010	ICRA	AAA	AA	21/05/2019	NA
3	9.63% IDFC FIRST BANK LIMITED M-02/01/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1,000.01	07/01/2014	ICRA	AAA	AA	21/05/2019	NA
B.									
<i>As on Date ²</i>									
1	8.90% IDFC FIRST BANK LIMITED - M-29/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	350.00	29/09/2009	ICRA	AAA	AA	21/05/2019	NA
2	8.95% IDFC FIRST BANK LIMITED M-04/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	240.05	27/01/2010	ICRA	AAA	AA	21/05/2019	NA
3	9.63% IDFC FIRST BANK LIMITED M-02/01/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1,000.01	07/01/2014	ICRA	AAA	AA	21/05/2019	NA
4	8.75% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD. M-23/01/2025	IORL	1,000.00	23/01/2015	ICRA	AAA	D	18/09/2018	NA
5	8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019#	IORL	500.00	23/09/2016	ICRA	AAA	D	18/09/2018	Refer Note#
6	8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028#	IORL	500.00	09/03/2018	ICRA	AAA	D	18/09/2018	Refer Note#

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature

Full name: ANEESH SRIVASTAVA

Designation: CHIEF INVESTMENT OFFICER

Date: 18/07/2019

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
5. # With respect to the 8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019 and 8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028 security, date of original purchase is 23/09/2016 & 09/03/2018 respectively and said securities were transferred from PENSION fund to LIFE fund on 30/11/2018 to comply the IRDAI Investment regulation - master circular 4.9 (1) Other Investments in Pension and Group Fund.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

Name of Fund: ULIP FUNDS

Statement of Down Graded Investments | Statement as on: 30th June 2019| Periodicity of Submission: Quarterly

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
₹ in Lakhs									
A.									
During the Quarter ¹									
1	8.65% IDFC FIRST BANK LIMITED M-24/05/2020 (FORMERLY KNOWN AS IDFCBANK)	ECOS	100.55	24/05/2010	ICRA	AAA	AA	21/05/2019	NA
2	8.70% IDFC FIRST BANK LIMITED M-20/05/2025 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1,008.63	02/08/2017	ICRA	AAA	AA	22/05/2019	NA
3	8.90% IDFC FIRST BANK LIMITED - M-29/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	10.03	29/09/2009	ICRA	AAA	AA	21/05/2019	NA
4	8.95% IDFC FIRST BANK LIMITED M-04/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	60.07	27/01/2010	ICRA	AAA	AA	21/05/2019	NA
5	9.36% IDFC FIRST BANK LIMITED M-21/08/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	517.92	09/09/2014	ICRA	AAA	AA	21/05/2019	NA
B.									
As on Date ²									
1	7.59% PNB HOUSING FINANCE LTD M-27/07/2022	HTDN	990.57	02/08/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA
2	7.80% PNB HOUSING FINANCE LTD M-07/05/2021	HTDN	999.85	08/05/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA
3	8.33% PNB HOUSING FINANCE LTD M-01/09/2021	HTDN	506.57	01/06/2016	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA
4	8.65% IDFC FIRST BANK LIMITED M-24/05/2020 (FORMERLY KNOWN AS IDFCBANK)	ECOS	100.55	24/05/2010	ICRA	AAA	AA	21/05/2019	NA
5	8.65% STEEL AUTHORITY OF INDIA M-30/12/2019	ORAD	10.03	05/01/2010	INDIA RATING(FITCH)	AAA	AA-	29/12/2017	NA
6	8.70% IDFC FIRST BANK LIMITED M-20/05/2025 (FORMERLY KNOWN AS IDFCBANK)	ECOS	1008.63	02/08/2017	ICRA	AAA	AA	22/05/2019	NA
7	8.80% STEEL AUTHORITY OF INDIA LTD. M-26/10/2019	ORAD	500.31	02/07/2015	INDIA RATING(FITCH)	AAA	AA-	29/12/2017	NA
8	8.90% IDFC FIRST BANK LIMITED - M-29/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	10.03	29/09/2009	ICRA	AAA	AA	21/05/2019	NA
9	8.95% IDFC FIRST BANK LIMITED M-04/09/2019 (FORMERLY KNOWN AS IDFCBANK)	ECOS	60.07	27/01/2010	ICRA	AAA	AA	21/05/2019	NA
10	9.30% STEEL AUTHORITY OF INDIA LTD. M-25/05/2021	ORAD	508.57	20/03/2015	INDIA RATING(FITCH)	AAA	AA-	29/12/2017	NA
11	9.36% IDFC FIRST BANK LIMITED M-21/08/2024 (FORMERLY KNOWN AS IDFCBANK)	ECOS	517.92	09/09/2014	ICRA	AAA	AA	21/05/2019	NA
10	8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019#	IOR	0.00	23/09/2016	ICRA	AAA	D	18/09/2018	Refer Note 5#
11	7.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-24/10/2021#	IOR	0.00	24/10/2016	ICRA	AAA	D	18/09/2018	Refer Note 5#

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature :

Full name: ANEESH SRIVASTAVA

Designation: CHIEF INVESTMENT OFFICER

Date: 18/07/2019

Note:

1. Provide details of Down Graded Investments during the Quarter.
2. Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
3. FORM-2 shall be prepared in respect of each fund.
4. 'Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
5. # 'Investment Assets for ULIP includes impact of 100% provision for diminution in value of investments of IL&FS

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

Name of Fund: PENSION FUNDS

Statement of Down Graded Investments | Statement as on: 30th June 2019 | Periodicity of Submission: Quarterly

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks	
₹ in Lakhs										
A.				<u>During the Quarter ¹</u>						
				-----NIL-----						
B.				<u>As on Date ²</u>						
				-----NIL-----						

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 18/07/2019

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Signature

Full name: ANEESH SRIVASTAVA

Designation: CHIEF INVESTMENT OFFICER