

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

IDBI Federal Life Insurance Co Ltd. Registered Office: 22nd Floor, A Wing, Marathon Futurax, N M Joshi Marg, Lower Parel (East), Mumbai 400013 www.idbifederal.com Corporate Identity Number (CIN) –

Name of Fund: LIFE - LIFE FUNDS

Statement of Down Graded Investments | Statement as on: 31 March 2019 | Periodicity of Submission: Quarterly

₹ in Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.									
<u>During the Quarter ¹</u>									
-----NIL-----									
B.									
<u>As on Date ²</u>									
1	8.90% IDFC BANK - M-29/09/2019 (FORMERLY KNOWN AS IDFC LTD)	ECOS	350.00	29/09/2009	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA
2	8.95% IDFC BANK M-04/09/2019 (FORMERLY KNOWN AS IDFC LTD)	ECOS	240.12	27/01/2010	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA
3	9.63% IDFC BANK M-02/01/2024 (FORMERLY KNOWN AS IDFC LTD)	ECOS	1,000.01	07/01/2014	ICRA	AAA	AA+	15/11/2018	NA
4	8.75% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD. M-23/01/2025	IORD	1,000.00	23/01/2015	ICRA	AAA	D	18/09/2018	NA
5	8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019#	IORD	500.00	23/09/2016	ICRA	AAA	D	18/09/2018	Refer Note#
6	8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028#	IORD	500.00	09/03/2018	ICRA	AAA	D	18/09/2018	Refer Note#

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature**Full name:** ANEESH SRIVASTAVA**Designation:** CHIEF INVESTMENT OFFICER**Date:** 11/04/2019**Note:**

- Provide details of Down Graded Investments during the Quarter.
- Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- FORM-2 shall be prepared in respect of each fund.
- 'Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
- # With respect to the 8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019 and 8.65% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-09/03/2028 security, date of original purchase is 23/09/2016 & 09/03/2018 respectively and said securities were transferred from PENSION fund to LIFE fund on 30/11/2018 to comply the IRDAI Investment regulation - master circular 4.9 (1) Other Investments in Pension and Group Fund.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

Name of Fund: ULIP FUNDS

Statement of Down Graded Investments | Statement as on: 31 March 2019| Periodicity of Submission: Quarterly

₹ in Lakhs										
No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks	
A.										
<u>During the Quarter ¹</u>										
1	8.33% PNB HOUSING FINANCE LTD M-01/09/2021	HTDN	507.50	01/06/2016	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
2	7.80% PNB HOUSING FINANCE LTD M-07/05/2021	HTDN	1,000.41	08/05/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
3	7.59% PNB HOUSING FINANCE LTD M-27/07/2022	HTDN	993.72	02/08/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
B.										
<u>As on Date ²</u>										
1	8.90% IDFC BANK - M-29/09/2019 (FORMERLY KNOWN AS IDFC LTD)	ECOS	10.05	29/09/2009	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
2	8.65% STEEL AUTHORITY OF INDIA M-30/12/2019	ORAD	10.04	05/01/2010	INDIA RATING(FITCH)	AAA	AA-	03/08/2017	NA	
3	8.95% IDFC BANK M-04/09/2019 (FORMERLY KNOWN AS IDFC LTD)	ECOS	60.16	27/01/2010	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
4	8.65% IDFC BANK M-24/05/2020 (FORMERLY KNOWN AS IDFC LTD)	ECOS	80.82	24/05/2010	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
5	9.50% IDFC BANK M-29/04/2019 (FORMERLY KNOWN AS IDFC LTD)	ECOS	500.13	21/08/2014	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
6	9.36% IDFC BANK M-21/08/2024 (FORMERLY KNOWN AS IDFC LTD)	ECOS	524.75	09/09/2014	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
7	9.30% STEEL AUTHORITY OF INDIA LTD. M-25/05/2021	ORAD	509.54	20/03/2015	INDIA RATING(FITCH)	AAA	AA-	03/08/2017	NA	
8	8.80% STEEL AUTHORITY OF INDIA LTD. M-26/10/2019	ORAD	501.60	02/07/2015	INDIA RATING(FITCH)	AAA	AA-	03/08/2017	NA	
9	8.90% STEEL AUTHORITY OF INDIA LTD. M-01/05/2019	ORAD	499.73	02/07/2015	INDIA RATING(FITCH)	AAA	AA-	03/08/2017	NA	
10	8.05% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-23/09/2019#	IORD	0.00	23/09/2016	ICRA	AAA	D	18/09/2018	Refer Note 5#	
11	7.90% INFRASTRUCTURE LEASING & FINANCIAL SERVICES LTD M-24/10/2021#	IORD	0.00	24/10/2016	ICRA	AAA	D	18/09/2018	Refer Note 5#	
12	8.33% PNB HOUSING FINANCE LTD M-01/09/2021	HTDN	507.50	01/06/2016	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
13	7.80% PNB HOUSING FINANCE LTD M-07/05/2021	HTDN	1000.41	08/05/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
14	7.59% PNB HOUSING FINANCE LTD M-27/07/2022	HTDN	993.72	02/08/2017	INDIA RATING(FITCH)	AAA	AA+	10/01/2019	NA	
15	8.70% IDFC BANK M-20/05/2025	ECOS	1006.98	02/08/2017	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	
16	8.65% IDFC BANK M-24/05/2020 (FORMERLY KNOWN AS IDFC LTD)	ECOS	20.20	24/05/2010	INDIA RATING(FITCH)	AAA	AA+	28/06/2018	NA	

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Signature :

Full name: ANEESH SRIVASTAVA

Designation: CHIEF INVESTMENT OFFICER

Date: 11/04/2019

Note:

- 1 Provide details of Down Graded Investments during the Quarter.
- 2 Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3 FORM-2 shall be prepared in respect of each fund.
- 4 Category of Investmet (COI) shall be as per INV/GLN/001/2003-04
- 5# Investment Assets for ULIP includes impact of 100% provision for dimunition in value of investments of IL&FS.

FORM L-35-DOWNGRADING OF INVESTMENTS - 2



[IRDAI Registration No.135 dated 19th December 2007]

Name of Fund: PENSION FUNDS

Statement of Down Graded Investments | Statement as on: 31 March 2019 | Periodicity of Submission: Quarterly

₹ in Lakhs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A.				<u>During the Quarter ¹</u>					
			-----NIL-----						
B.				<u>As on Date ²</u>					
			-----NIL-----						

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

Date: 11/04/2019

Note:

- 1** Provide details of Down Graded Investments during the Quarter.
- 2** Investments currently upgraded, listed as Down Graded during earlier Quarter shall be deleted from the Cumulative listing.
- 3** FORM-2 shall be prepared in respect of each fund.
- 4** Category of Investmet (COI) shall be as per INV/GLN/001/2003-04

Signature

Full name: ANEESH SRIVASTAVA

Designation: CHIEF INVESTMENT OFFICER